PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TR	ANSMITTAL LETTER TO	ATTTORNEY'S DOCKET NUMBER								
	DESIGNATED/ELECTED	100725-49 KGB								
CON	ICERNING A SUBMISSION	U.S. ARPHICATION NO. (1 known 62-87 CFR 1.5)								
	TIONAL APPLICATION NO. 22004/000065	8 January 2004 (8.01.2004)	PRIORITY DATE CLAIMED 8 January 2003 (08.01.2003)							
TITLE OF INVENTION TARGETED TRANSGENESIS USING THE ROSA26 LOCUS										
APPLICANT(S) FOR DO/EO/US Frieder SCHWENK, Jost SEIBLER, Nicole FAUST, Ralf KUHN										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. 🗸										
2.	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
3. 🗸	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. 🔽	The US has been elected (Article 31).									
5. √	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
	a. is attached hereto (required only if not communicated by the International Bureau).									
	b. has been communicated by	y the International Bureau.								
L.21	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. ☑	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
	a. ☑ is attached hereto.									
_	b. La has been previously submitted under 35 U.S.C. 154(d)(4).									
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
	a. — are attached hereto (required only if not communicated by the International Bureau).									
	b. have been communicated by the International Bureau.									
	c. have not been made; however, the time limit for making such amendments has NOT expired.									
. 🗖	d. I have not been made and will not be made.									
<u>.</u> □	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items 11 to 20 below concern document(s) or information included:										
11. 🗹	An Information Disclosure Statement	t under 37 CFR 1.97 and 1.98.								
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13. 🔽	A preliminary amendment.									
14.	An Application Data Sheet under 37 CFR 1.76.									
15. 🔲	A substitute specification.									
16.	A power of attorney and/or change of address letter.									
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.									
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
20. 🗸	Other items or information: Copy of International Search Report; Return Receipt Postcard; Sequence Listing; Statement Regarding Sequence Listing; Diskette Containing Sequence Listing									

This collection of information is required by 37 CFR 1.414 and 1.491.1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JC14 Rec'd PCT/PTO 07 JUL 2005

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U.S. APPLICAT	ATTORNEY'S DOC									
	10/541	100725-49 KGB								
	ng fees are submitted:	\$ 300.								
	ational fee		\$ 200.							
I= '	ation fee									
d c) Search f	ee	\$ 500.	-							
	TOTAL OF ABOVE CA	\$ 1000.	<u> </u>							
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.										
Total Sheets	Extra sheets	Number of each additional 50 or fraction RATE thereof (round up to a whole number)								
- 100 =	/50 =			× \$250.00	\$ 0					
	30.00 for furnishing the o date (37 CFR 1.492(e)).	ath or declar	ration later than 30 months fron	n the earliest	\$					
CLAIMS	NUMBER FII	_ED	NUMBER EXTRA	RATE	\$					
Total claims	46	- 20 = 2	26	x \$50.00	\$ 1300.					
Independent clai	ms 1	- 3 =		× \$200.00	\$ 0					
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)		+ \$360.00	\$0 ;					
		_	TOTAL OF ABOVE C		\$ 2300.					
Applicant of by 1/2.	laims small entity status.	See 37 CFF	R 1.27. The fees indicated abov	ve are reduced	\$ 1150./					
				SUBTOTAL =	\$ 1150.					
	of \$130.00 for furnishing to date (37 CFR 1.492(f)).	he English tr	ranslation later than 30 months	from the earliest +	\$					
			TOTAL N	AATIONAL FEE =	\$ 1150. ∫					
	g the enclosed assignment e cover sheet (37 CFR 3	\$								
• • • • • • • • • • • • • • • • • • • •			TOTAL FEE	ES ENCLOSED =	\$					
		Amount to be refunded:	\$							
					Amount to be charged:	\$ 1150.				
a. A check in the amount of \$ to cover the above fees is enclosed.										
b. Please charge my Deposit Account No. 14-1263 in the amount of \$ 1.50.00 to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t										
Account No. 141263 A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public Credit card information should not										
be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL CORRESPONDENCE TO:										
Kurt G. Briscoe Customer No. 27384										
	ghlin & Marcus, P.A.	RISCOE								
875 Third Ave	enue - 18th Floor	NI SCOL								
New York, N	Y 10022	I								
REGISTRATION NUMBER										
1										

Attorney Docket No. 100725-49 (40) KGB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT

Frieder SCHWENK et al

SERIAL NO.

To Be Assigned [This is a 371 of PCT/EP2004/000065]

CUSTOMER NO. :

27384

FILED

Herewith

FOR

TARGETED TRANSGENESIS USING THE ROSA26 LOCUS

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number EV 627049675 US
Date of Deposit July 7, 2005

I hereby certify that the following items:

- 1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Submission Under 35 U.S.C. 371
- 2. Application Data Sheet
- 3. Specification consisting of description (pages 1-15); 16 Claims (pages 16-19); Abstract (page 20); Six (6) Sheets of Drawings (Fig. 1-Fig 6); Sequence Listing (pages 1-23)
- 4. Preliminary Amendment
- 5. Statement Regarding Sequence Listing
- 6. Diskette Containing Sequence Listing
- 7. Information Disclosure Statement
- 8. Form PTO 1449 and Copy of International Search Report

and

9. Return Receipt Postcard

are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the: Mail Stop PCT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

NORRIS, McLAUGHLIN & MARCUS, P.A.

Bennifer Archer